



Significant Financial Interest Disclosure Form

This Disclosure Form is to be completed by each Investigator pursuant to **the Mount Mary University Policies on Financial Conflicts of Interest and Objectivity in Research in federally sponsored programs.**

Upon initial disclosure of a Significant Financial Interest on the Fundraising Initiation Form, as well as annually for ongoing projects, complete this form and submit it to Anne Kahl, Director of Corporate & Foundation Relations (kahla@mtmary.edu); HL 015.

For more information, visit the [NIH's Conflict of Interest website](#).

1. Project Title and award number:

2. Project Director/Principal Investigator or Contact PD/PI if a multiple PD/PI model is used:

3. Name of Investigator making this report about his/her financial interest(s):

4. Check which of the following you are submitting:

- ☐ Initial Disclosure Form: Before the time of application for funded Research
- ☐ Update Disclosure Form: Within 30 days discovering or acquiring a new Significant Financial Interest during a Research award
- ☐ Update Disclosure Form: Required Annual Update during a Research award

5. Indicate whether you, the Investigator, have any Significant Financial Interests related to the work to be conducted under the project(s):

- ☐ I have no Significant Financial Interest as that term is defined in the Policy (stop completing this Disclosure Form here and submit it).
- ☐ I do have Significant Financial Interest(s) to report (**continue completing the rest of this Disclosure Form**).

6. For each Significant Financial Interest (use additional pages if necessary):

- Provide the name of each entity in which the Investigator has a Significant Financial Interest:
- Provide the nature of the financial interest (for example, equity, consulting fee, travel reimbursement, or honorarium):
- Indicate the value (dollar amount) of the Significant Financial Interest:
- For Reimbursed or Sponsored Travel required to be reported by the Policy, indicate all of the following:
 - The purpose of the trip:
 - The identity of the sponsor/organizer:
 - The destination:
 - The duration:
 - Approximate monetary value:

7. Describe how the Significant Financial Interest relates to the funded project/program:

8. Do you believe that the project(s) could directly and significantly affect the Significant Financial Interest(s) you are reporting?

Date: _____

Signature: _____