

Significant Financial Interest Disclosure Form

This Disclosure Form is to be completed by each Investigator pursuant to **the Mount Mary University Policies on Financial Conflicts of Interest and Objectivity in Research in federally sponsored programs**.

Upon initial disclosure of a Significant Financial Interest on the Fundraising Initiation Form, as well as annually for ongoing projects, complete this form and submit it to Anne Kahl, Director of Corporate & Foundation Relations (kahla@mtmary.edu); HL 015.

For more information, visit the <u>NIH's Conflict of Interest website</u>.

- 1. Project Title and award number:
- 2. Project Director/Principal Investigator or Contact PD/PI if a multiple PD/PI model is used:
- 3. Name of Investigator making this report about his/her financial interest(s):
- 4. Check which of the following you are submitting:



Initial Disclosure Form: Before the time of application for funded Research



Update Disclosure Form: Within 30 days discovering or acquiring a new Significant Financial Interest during a Research award



Update Disclosure Form: Required Annual Update during a Research award

5. Indicate whether you, the Investigator, have any Significant Financial Interests related to the work to be conducted under the project(s):



I have no Significant Financial Interest as that term is defined in the Policy (stop completing this Disclosure Form here and submit it).



I do have Significant Financial Interest(s) to report (continue completing the rest of this Disclosure Form).

6. For each Significant Financial Interest (use additional pages if necessary):

• Provide the name of each entity in which the Investigator has a Significant Financial Interest:

• Provide the nature of the financial interest (for example, equity, consulting fee, travel reimbursement, or honorarium):

• Indicate the value (dollar amount) of the Significant Financial Interest:

• For Reimbursed or Sponsored Travel required to be reported by the Policy, indicate all of the following:

- The purpose of the trip:
- The identity of the sponsor/organizer:
- The destination:
- The duration:
- Approximate monetary value:

7. Describe how the Significant Financial Interest relates to the funded project/program:

8. Do you believe that the project(s) could directly and significantly affect the Significant Financial Interest(s) you are reporting?

Date: _____

Signature: ______